

# Investor Charter Research Analyst

## A. Vision and Mission Statement for Investors

**Vision** – Goldilocks Premium Research Pvt Ltd’s vision is to provide research services built on the principles of selecting stocks based on extensive screening, rigorous due diligence and focus on valuations to ensure optimal investment returns.

**Mission** – To enable investors to understand the companies well and read analysis before investing in it. To make them a well-informed investor.

## B. Details of business transacted by the Research Analyst with respect to the investors

Goldilocks Premium Research Pvt Ltd publishes research reports based on the research activities of the Research Analysts with intent to provide an independent and unbiased view on securities, and recommendations based on analysis of publicly available information and known observations while disclosing the financial interests in recommended securities.

## C. Details of services provided to investors

- The client onboarding process for the Research Analyst services requires the client to submit Mobile no, email id and PAN details.
- Goldilocks Premium Research Pvt Ltd distributes research reports and recommendations to the clients without any discrimination.
- Goldilocks Premium Research Pvt Ltd also ensures that confidentiality is maintained with regard to the publication of the research report until it is made available in the public domain.

## D. Details of grievance redressal mechanism and how to access it

In case of any grievance / complaint, an investor should approach the concerned research analyst and shall ensure that the grievance is resolved within 30 days. To file any grievances / complain with us an investor can mail us at [admin@goldilocksresearch.com](mailto:admin@goldilocksresearch.com)

If the investor’s complaint is not redressed satisfactorily, one may approach SEBI SCORES website at <https://scores.sebi.gov.in/> and can lodge complain in “Complaint Registration” under “Investor Corner”, which is a centralized web based complaints redressal system.

SEBI takes up the complaints registered via SCORES with the concerned intermediary for timely redressal. SCORES facilitates tracking the status of the complaint.

With regard to physical complaints, investors may send their complaints to: Office of Investor Assistance and Education, Securities and Exchange Board of India, SEBI Bhavan. Plot No. C4-A, 'G' Block, Bandra-Kurla Complex, Bandra (E), Mumbai - 400 051.

## **E. Expectations from Investors (Responsibilities of**

### **investors) Do's**

- Always deal with SEBI registered Research Analyst.
- Ensure that the Research Analyst has a valid registration certificate.
- Check for SEBI registration number.
- Please refer to the list of all SEBI registered Research Analysts which is available on SEBI website in the following link:  
<https://www.sebi.gov.in/sebiweb/other/OtherAction.do?doRecognisedFpi=yes&intmlId=14>
- Always pay attention towards disclosures made in the research reports before investing.
- Pay your Research Analyst through banking channels only and maintain duly signed receipts mentioning the details of your payments.
- Before buying securities or applying in public offer, check for the research recommendation provided by your research Analyst.
- Ask all relevant questions and clear your doubts with your Research Analyst before acting on the recommendation.
- Inform SEBI about Research Analyst offering assured or guaranteed returns.

### **Don'ts**

- Do not provide funds for investment to the Research Analyst.
- Don't fall prey to luring advertisements or market rumors.
- Do not get attracted to limited period discount or other incentive, gifts, etc. offered by Research Analyst.
- Do not share login credentials and password of your trading and demat accounts with the Research Analyst.

## Grievance Redressal / Escalation Matrix

Details of designation	Contact Person Name	Address where the physical address location	Contact No.	Email-ID	Working hours when complainant can call
Customer Care	Bhavin Kachalia	PS Continental, Unit - 203, 83/2/1 Topsia Road (South), Kolkata - 700046	033 4068-1139	admin@goldilocksresearch.com	10 AM - 5:00 PM
Head of Customer Care	Megha Shah	PS Continental, Unit - 203, 83/2/1 Topsia Road (South), Kolkata - 700046	98300 35900	megha@goldilocksresearch.com	10 AM - 5:00 PM
Compliance Officer	Megha Shah	PS Continental, Unit - 203, 83/2/1 Topsia Road (South), Kolkata - 700046	98300 35900	megha@goldilocksresearch.com	10 AM - 5:00 PM
CEO	Gautam Shah	PS Continental, Unit - 203, 83/2/1 Topsia Road (South), Kolkata - 700046	033 4068-1139	gautam@goldilocksresearch.com	10 AM - 5:00 PM
Principal Officer	Gautam Shah	PS Continental, Unit - 203, 83/2/1 Topsia Road (South), Kolkata - 700046	033 4068-1139	gautam@goldilocksresearch.com	10 AM - 5:00 PM