Investor Charter Research Analyst

A. Vision and Mission Statement for Investors

Vision –Goldilocks Premium Research'svision is to provide research services built on the principles of selecting stocks based on extensive screening, rigorous due diligence and focus on valuations to ensure optimal investment returns and always advising investors to invest with knowledge and safety.

Mission -To enable investors to understand the companies well and read analysis before investing in it. To make them a well-informed investor so that the investor should be able to invest in right investment products.

B. Details of business transacted by the Research Analyst with respect to the investors

- To publish research report based on the research activities of the RA.
- To provide an independent unbiased view on securities.
- To offer unbiased recommendation, disclosing the financial interests in recommended securities.
- To provide research recommendation, based on analysis of publicly available information and known observations.
- To conduct audit annually

C. Details of services provided to investors

- The client onboarding process for the Research Analyst services requires the client to submit Mobile no, email id and PAN details.
- Goldilocks Premium Research distributes research reports and recommendations to the clients without any discrimination.
- Goldilocks Premium Research also ensures that confidentiality is maintained with regard to the publication of the research report until it is made available in the public domain.

D. Details of grievance redressal mechanism and how to access it

In case of any grievance / complaint, the subscriber can raise the issue by writing us at:admin@goldilocksresearch.com and we shall ensure that the grievance is resolved within 30 days.

If the investor's complaint is not redressed satisfactorily, one may lodge a complaint with SEBI on SEBI's SCORES portal at http://www.scores.gov.in which is a centralized web based complaints redressal system. SEBI takes up the complaints registered via SCORES with the concerned intermediary for timely redressal. SCORES facilitates tracking the status of the complaint.

With regard to physical complaints, investors may send their complaints to: Office of Investor Assistance and Education, Securities and Exchange Board of India, SEBI Bhavan. Plot No. C4-A, 'G' Block, Bandra-Kurla Complex, Bandra (E), Mumbai - 400 051.

E. Expectations from Investors (Responsibilities of investors)

Do's

- Always deal with SEBI registered Research Analyst.
- Ensure that the Research Analyst has a valid registration certificate.
- Check for SEBI registration number.
- Please refer to the list of all SEBI registered Research Analysts which is available on SEBI website in the following link: https://www.sebi.gov.in/sebiweb/other/OtherAction.do?doRecognisedFpi=yes &intmId=14
- Always pay attention towards disclosures made in the research reports before investing.
- Pay your Research Analyst through banking channels only and maintain duly signed receipts mentioning the details of your payments.
- Before buying securities or applying in public offer, check for the research recommendation provided by your research Analyst.
- Ask all relevant questions and clear your doubts with your Research Analyst before acting on the recommendation.
- Inform SEBI about Research Analyst offering assured or guaranteed returns.

Don'ts

- Do not provide funds for investment to the Research Analyst.
- Don't fall prey to luring advertisements or market rumors.
- Do not get attracted to limited period discount or other incentive, gifts, etc. offered by Research Analyst.
- Do not share login credentials and password of your trading and demat accounts with the Research Analyst.